

**AGENDA
FINANCIAL SERVICES COMMISSION
OFFICE OF FINANCIAL REGULATION**

September 19, 2007

MEMBERS

Governor Charlie Crist
Attorney General Bill McCollum
Chief Financial Officer Alex Sink
Commissioner Charlie Bronson

Contact: Andrea Moreland (OFR)
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9:00 A.M.
LL-03, The Capitol
Tallahassee, Florida

ITEM	SUBJECT	RECOMMENDATION
1.	Minutes of the July 31, 2007, meeting. (ATTACHMENT 1)	For Approval
2.	The Office is requesting approval to publish a Notice of Proposed Rulemaking for Rule Chapter 69V-40, Florida Administrative Code, relating to mortgage brokering and lending. At the August 28, 2007, meeting, the Commission approved the Office's request to file a Notice of Proposed Rulemaking for this rule chapter. The notice included proposed changes to Rule 69V-40.031. Subsequent to the meeting, the Office discovered technical errors with that rule, which have now been corrected. (ATTACHMENT 2)	Approval for Publication
3.	The Office is requesting approval to publish a Notice of Proposed Rulemaking for Rule 69W-500.018. This is a new rule that is being created to exempt from securities registration requirements single-share stock certificates that are framed, and sold or offered as gifts. (ATTACHMENT 3)	Approval for Publication
4.	The Office is requesting approval to publish a Notice of Proposed Rulemaking for Rule Chapter 69W-600, Florida Administrative Code, relating to securities regulation. The proposed rules reduce fingerprint processing fees, and update registration requirements relating to associated persons to conform to statutory changes that were effective July 1, 2007. (ATTACHMENT 4)	Approval for Publication