

**AGENDA
FINANCIAL SERVICES COMMISSION
OFFICE OF FINANCIAL REGULATION**

October 4, 2005

MEMBERS

Governor Jeb Bush
Attorney General Charlie Crist
Treasurer Tom Gallagher
Commissioner Charlie Bronson

Contact: Andrea Moreland (OFR)
(850) 410-9662

9:00 A.M.
LL-03, The Capitol
Tallahassee, Florida

<u>ITEM</u>	<u>SUBJECT</u>	<u>RECOMMENDATION</u>
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| 1. | Approve for publication in the Florida Administrative Weekly: Notices of Proposed Rulemaking for Rule 69W-301.002, Florida Administrative Code; and Rules 69W-600.001, 69W-600.002, 69W-600.004, 69W-600.007, 69W-600.008, 69W-600.0092, and 69W-600.0093, Florida Administrative Code. <ul style="list-style-type: none">• The proposed amendments seek to adopt the latest forms used by the Securities and Exchange Commission (SEC) for Investment Advisers; and adopt a new form that has been approved by the National Association of Securities Dealers (NASD) for branch office applications, along with technical and conforming amendments to two other forms. | |
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(ATTACHMENT 1)

FOR ACTION