

Care Provider Background Screening Clearinghouse:

AHCA Clearinghouse Results
Website Instruction Guide

OVERVIEW

In response to the requirements passed during the 2012 Legislative session, the Agency for Health Care Administration (Agency) created the Care Provider Background Screening Clearinghouse, or "Clearinghouse" Website. The enhanced website allows users to initiate a screening, search for screening results, connect to specified agencies screenings, select a LiveScan service provider and connect to the service provider's website to schedule appointments. Utilizing the Clearinghouse website to initiate screening requests provides the following benefits:

- Ability to share results of criminal history checks among specified agencies
- Ability to view subsequent arrest information for employees with retained fingerprints (only available to current employers of the individual)
- Ability to track screenings from the time the screening request is initiated in the Clearinghouse until a determination is made.
- Provides email notification to the user regarding status updates to requests initiated.
- Ability to search for LiveScan Service Providers by certain criteria (county, name, etc.).
 Provides information and ability to connect to the fingerprint service provider's website to make appointments.
- Provides TCR# needed for sending an applicant to be rescreened for rejected prints.
- Posts Public Record version of state criminal history record (RAP sheet) for review by the provider requesting the original screening.
- Allows user to connect to a screening request in process for notification when results are available (reduces duplicative screening).
- Creates a "status" report and a "completed screening listing" report of screenings requested by the user eliminating the need to search for each screening result individually.
- Maintain an employee roster by entering hire and separation dates for each employee. This
 facilitates a notification to the employer if the eligibility status of an employee changes.
 - According to section 435.12(2) (c) an employer of persons subject to screening by a specified agency must register with the clearinghouse and maintain the employment status of all employees within the clearinghouse. Initial employment status and any changes in status must be reported within 10 business days.
- Redesigned Individual Profile page that includes:
 - Eligibility Results for Employment and Medicaid Provider Enrollment
 - Department of Health Professional Licensure Status
 - View screenings in process
 - o State criminal history report viewable for the provider initiating the screening
 - Employment History

Table of Contents

Background Screening Home Page	
Search for Screening Results	6
Initiate New Screening	7
Enter Profile Information	8
Search Medicare/Medicaid Exclusions (OIG List)	9
Select Position and Confirm Privacy Policy	10
Select LiveScan Provider and Make Appointment	11
Make Appointment	12
Print LiveScan Request Form	13
Sample LiveScan Request Form	14
Profile Page	15
Edit Demographic Information	16
Add Employment Record	17
Edit Employment Record	18
Screenings in Process Tab	20
Screening Results Tab	21
LiveScan Tab	
Employee Roster	23
Initiate Agency Review	
Search Medicare/Medicaid Exclusions (OIG List)	
Select Position and Confirm Privacy Policy	
Agency Review Request Submitted	
Initiate Resubmission	
Search Medicare/Medicaid Exclusions (OIG List)	29
Select Position and Confirm Privacy Policy	
Initiate Payment - Credit Card	
Enter Payment Information - Credit Card	32
Review Payment Information - Credit Card	33
Initiate Payment - E-Checking	34
Enter Payment Information - E-Checking	
Review Payment Information - E-Checking	36
Submit Resubmission Request	37
Resubmission Request Submitted	38

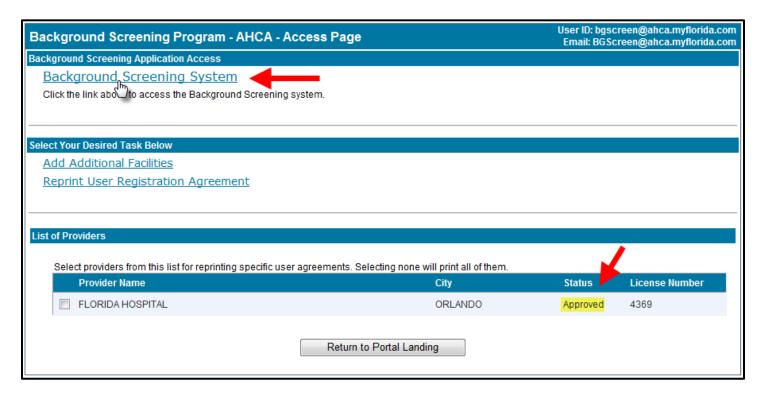
Background Screening Home Page

To gain access to the Background Screening (BGS) website you must first register on the AHCA Web Portal and receive access. Please see the <u>Clearinghouse User Registration Guide</u> for registration and log in instructions on the Background Screening Website at http://ahca.myflorida.com/backgroundscreening.

To access the Clearinghouse Results website through the AHCA portal please log in at https://apps.ahca.myflorida.com/SingleSignOnPortal. On the Portal Landing select **Background Screening – Agency for Health Care Administration.**

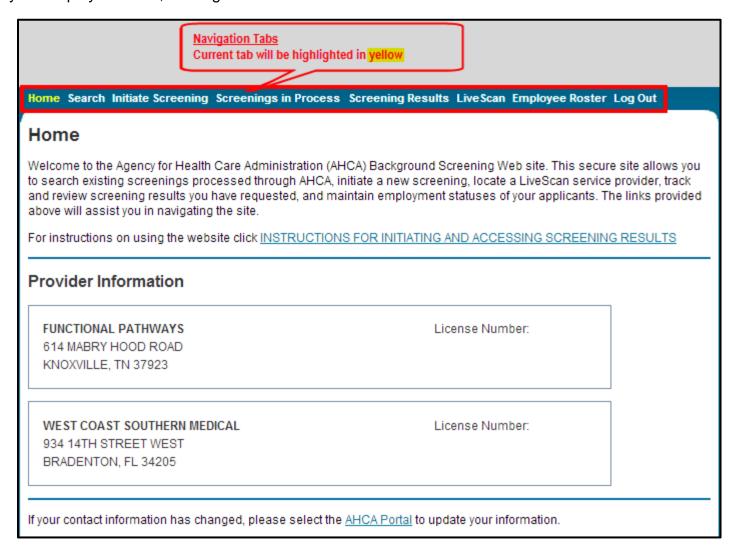


On the Background Screening Program – AHCA – Access Page you will see your approval status. If you are approved please select the **Background Screening System** link to access the results website.



Upon receiving access through the AHCA Portal, a welcome message and your provider information will appear on the BGS Home page. This page will also display important bulletin messages and information when appropriate.

Moving throughout the website is accomplished by clicking navigation tabs at the top of the page. These tabs will appear on all pages. The navigation tabs allow you to search, initiate screenings, review your screenings in process and screening results, look up LiveScan service providers, review your employee roster, and log out.

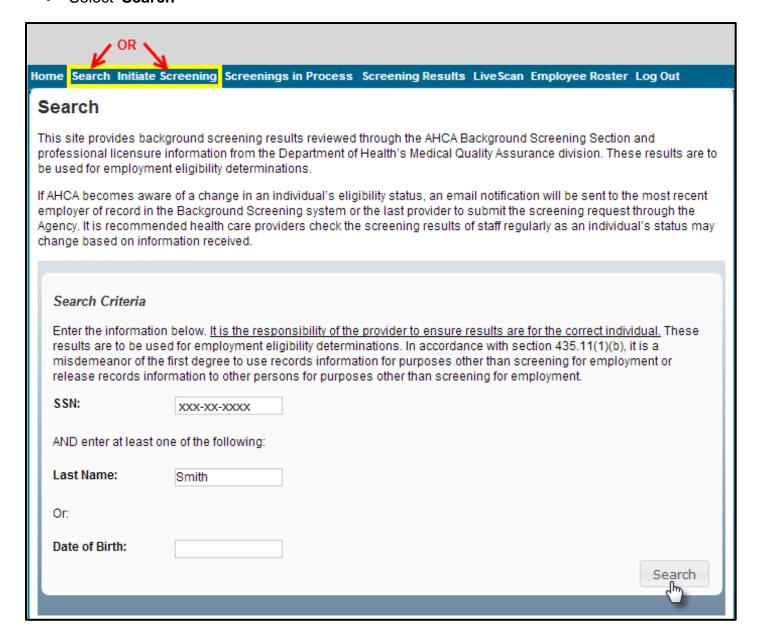


Search for Screening Results

The Search page allows you to review the eligibility status of an individual if they have undergone a screening or if they have a screening in process with AHCA or with another specified Agency in the Clearinghouse, thus reducing duplicative screenings. If the individual is not found, a screening may be initiated from this page. If the individual is found, their Profile page will appear.

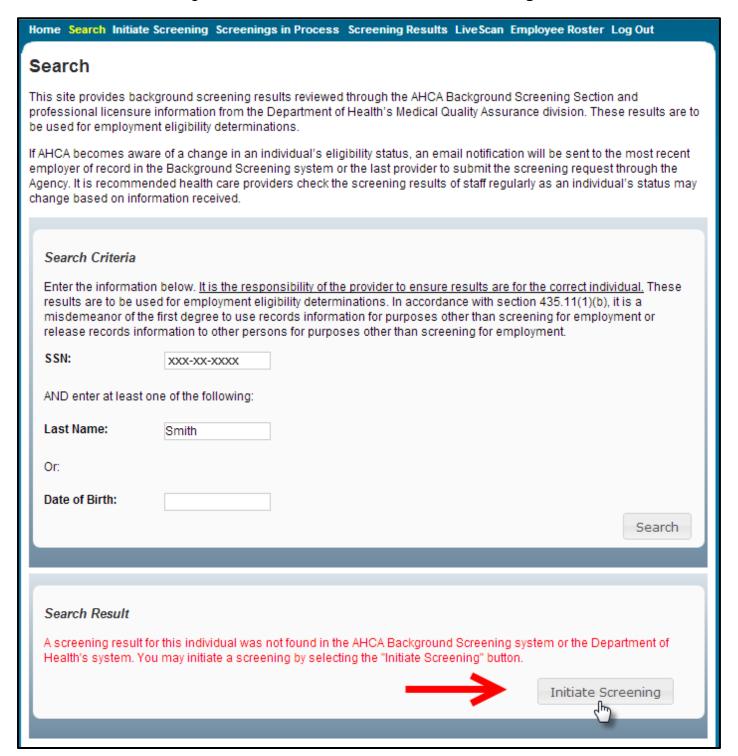
Note: If you know an individual has not been screened through AHCA, you may click the 'Initiate Screening' tab located on the navigation bar.

- Enter the individual's:
 - Social Security Number AND
 - Last Name OR
 - Date of Birth
- Select 'Search'



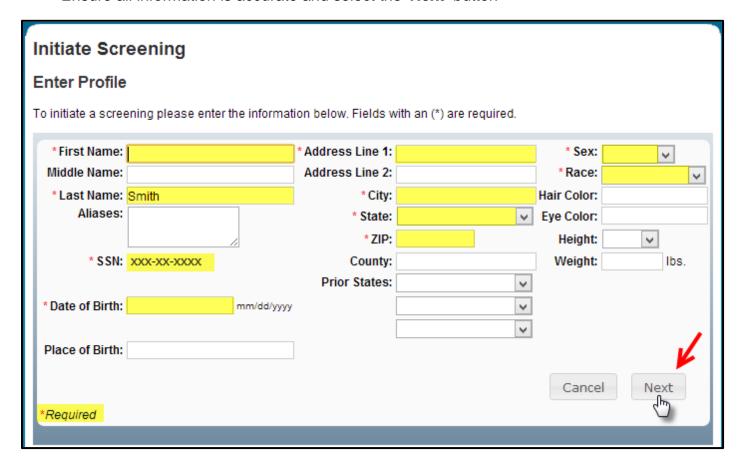
Initiate New Screening

To initiate a new screening for an individual, select the 'Initiate Screening' button



Enter Profile Information

- Enter all required information, as designated by the red asterisks (*)
- Ensure all information is accurate and select the 'Next' button



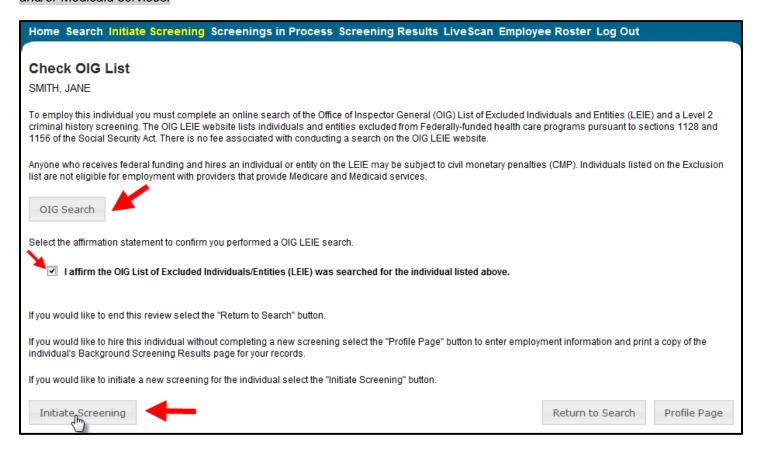
Search Medicare/Medicaid Exclusions (OIG List)

Individuals who do not have a prior screening through the Agency must be manually checked in the Office of Inspector General (OIG) List of Excluded Individuals and Entities (LEIE) upon initial screening. Once an individual has a record in the BGS system an automated review of the OIG LEIE will occur when the list is updated every 30 days.

When you **select the 'OIG Search' button** you will be redirected to the OIG's website. Follow the instructions to search for the individual and complete the OIG LEIE search. Close the OIG website and return to the BGS OIG Search page.

Check the affirmation box to confirm the search was conducted and select 'Initiate Screening' to continue or 'Cancel' if you do not wish to processed with the screening.

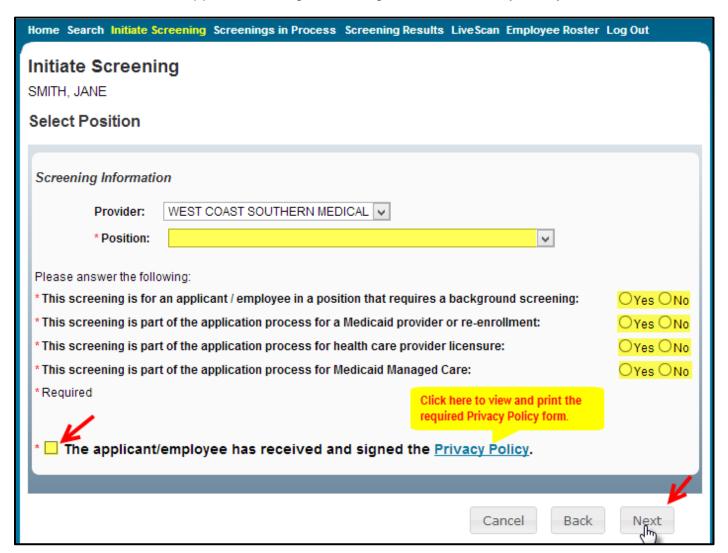
Note: Health care providers that receive federal funding that employs an individual on the LEIE may be subject to civil monetary penalties (CMP). Individuals on the Exclusion List are not eligible for employment with providers of Medicare and/or Medicaid services.



Select Position and Confirm Privacy Policy

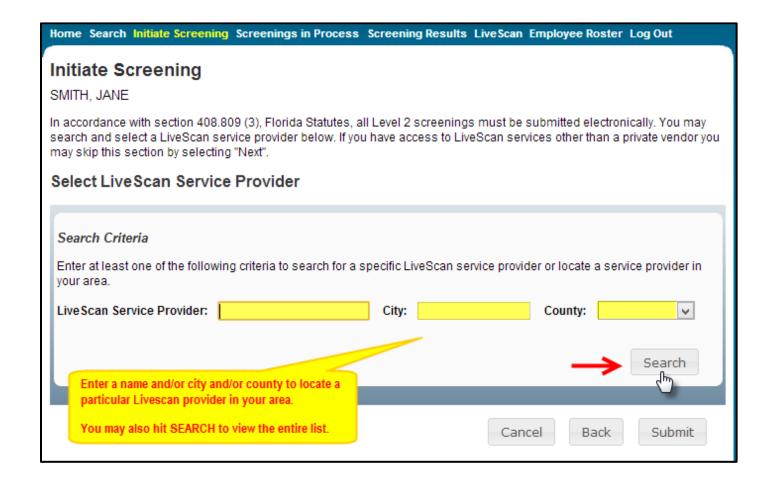
In order to ensure the appropriate criteria is applied during the screening review, the position type and reason for screening the individual must be entered. There may be more than one reason a screening is required, therefore select 'Yes' for all that apply.

- Select the position type that individual is applying for from the drop down list
- Select the type of screening required to hire the individual by selecting 'Yes' or 'No' for each type
- Select the 'Privacy Policy' link to view and print the privacy policy. Check the affirmation box to confirm that the applicant has signed and agreed to the Privacy Policy.



Select LiveScan Provider and Make Appointment

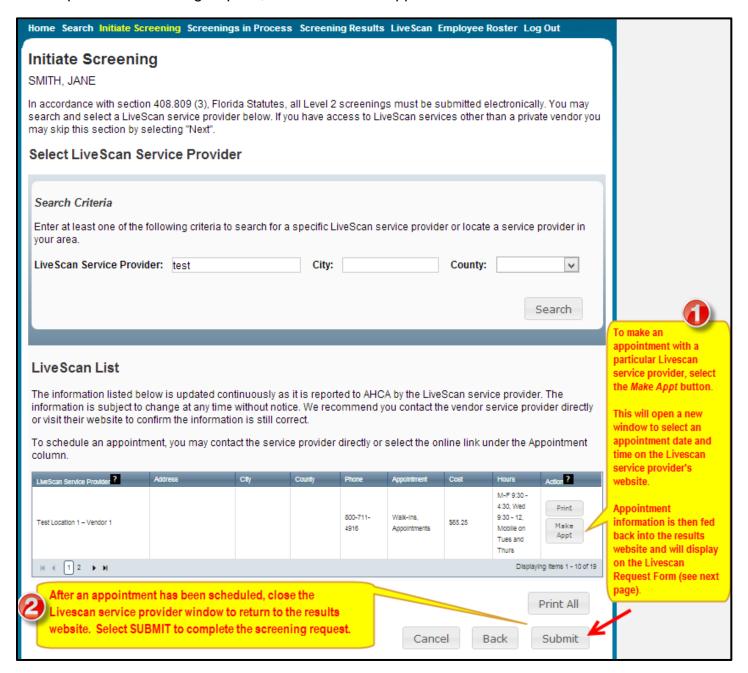
In accordance with section 408.809(3), Florida Statutes, all Level 2 screenings must be submitted electronically. You may search for and select a LiveScan Service Provider below. If you have access to a photo enabled and AHCA compliant service provider (other than a private vendor) **you may skip this section by selecting 'Submit'.**



Make Appointment

After you have selected the LiveScan service provider you would like to use, select the 'Make Appt' button to schedule an appointment with that service provider. While the website will be unique for each provider, they will all provide the ability to enter the social security number to populate all demographic information for the applicant – reducing duplicative data entry. Please contact the service provider with any questions about their 'Make Appt' page.

To complete the screening request, close the 'Make Appt' window and select 'Submit'.

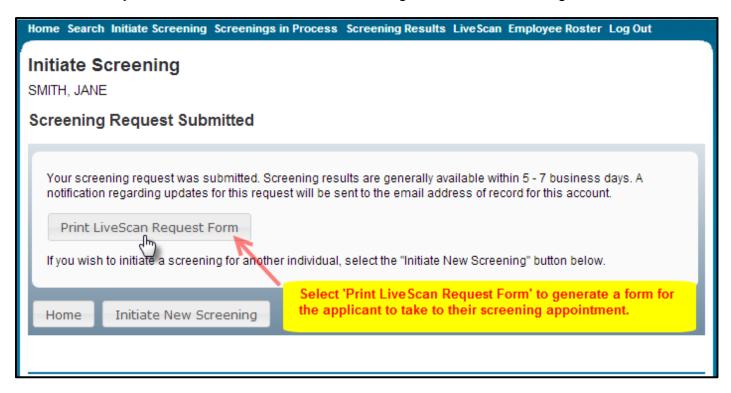


Print LiveScan Request Form

Once the screening request is submitted, a LiveScan Request Form will be generated for the applicant. The request form contains important information, including the following:

- 1. The **ORI number** required for submission
- 2. The **Screening Request ID** used by LiveScan service providers to link the screening results to the screening request
- 3. **Appointment information** (if an appointment was scheduled during the LiveScan step)

Select 'Home' if you are done, or 'Initiate New Screening' to initiate a screening for another individual.





Screening ID: 5058



Date of Request: 3/3/2013

XXX-XX-0041

FEMALE

LiveScan Request Form

You have applied for a position with a health care provider regulated by the Agency for Healthcare Administration (AHCA) that requires a fingerprint-based background check. Your fingerprints must be collected by a fingerprint vendor (LiveScan Service Provider) authorized to conduct fingerprinting in Florida. As a result of this background check, your screening results will be listed on AHCA's secure background screening result site. Authorized health care providers may access this secure site and print out screening results for individuals seeking employment in health care.

Applicant Information

Applicant's Name: JANE SMITH

Mailing Address: 123

Sex: Height:

SSN:

CITY, Florida 33333

Date of Birth: 1/1/1980

1/1980

(State or Country if not U.S.)

Place of Birth:

Hair Color: Eye Color:

LiveScan Service Provider Information

You must present this form and a current valid government-issued photo identification to be fingerprinted (i.e. driver's license, State ID or military identification card.)

An appointment has been scheduled for you by the health care provider listed below to have your finger prints taken at:



Test Vendor 123 Street Oity, FL 33333 555-555-5555

Appointment Date: 3/4/2013

Appointment Time: 9:00 AM

If you are unable to make this appointment, contact the requesting health care provider to reschedule.

TCN:	Technician's Name:	

Requesting Health Care Provider

WEST COAST SOUTHERN MEDICAL 934 14TH STREET WEST License Number:

Phone Number: 9417487148

BRADENTON, FL

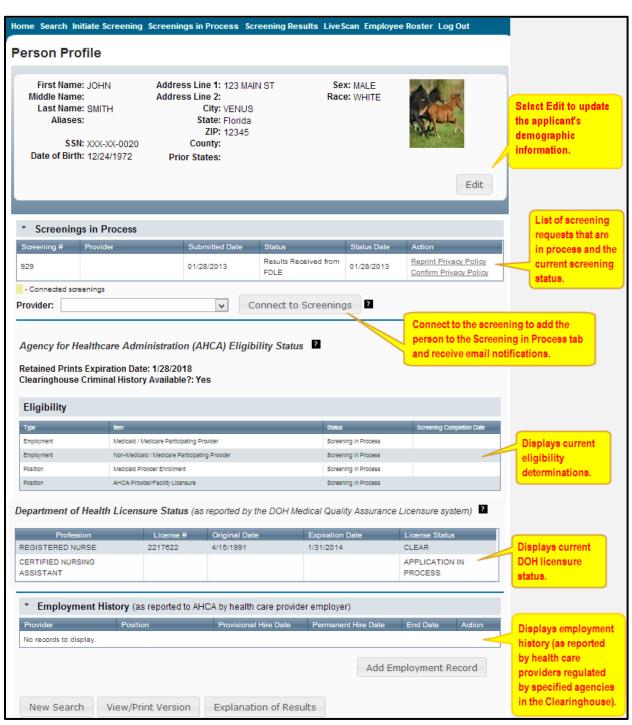
Please return this form to the requesting health care provider once your prints are taken.

Profile Page

The individual's profile page provides information useful in making hiring decisions. This page contains the screening eligibility status and the Department of Health professional licensure status if applicable. Other features include the ability to

- view the public version of the criminal history report (if you initiated the screening),
- · connect to a screening that is already in process for the individual, and
- receive notifications when the screening is complete.

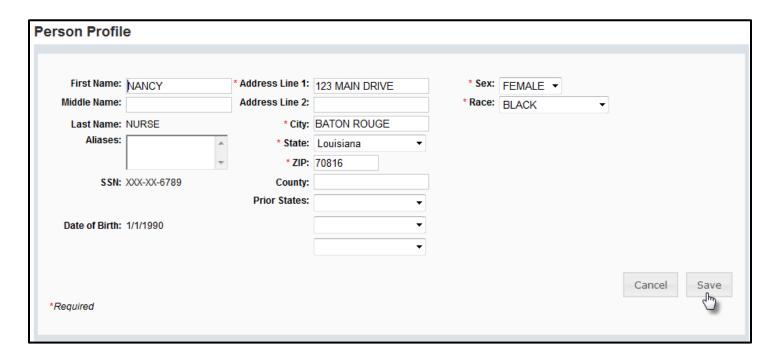
This page also provides an employment history for the individual as reported by any health care provider regulated by a specified Agency in the Clearinghouse.



Edit Demographic Information

You may edit the demographic information for an applicant by selecting the 'Edit' button on the profile page, below the photo. You may edit and update all information except for the following:

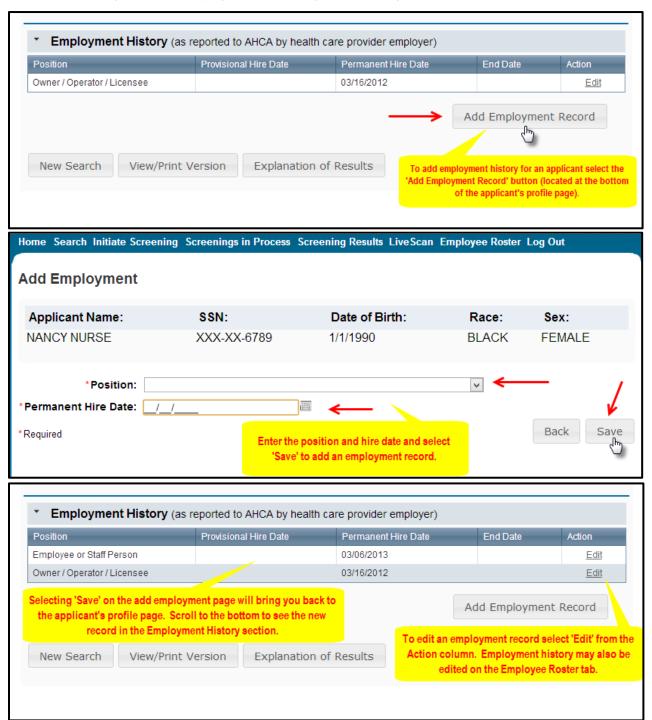
- Social Security Number
- Last Name
- Date of Birth



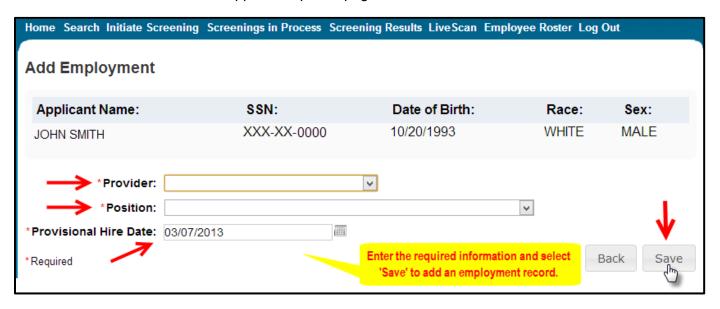
Add Employment Record

According to section 435.12(2) (c) an employer of persons subject to screening by a specified agency must register with the clearinghouse and maintain the employment status of all employees within the clearinghouse. Initial employment status and **any changes in status must be reported within 10 business days**. Employers are also encouraged to add employment history for staff hired prior to January 1, 2013 in order to receive notifications should their eligibility status change.

- To add employment history, open the individual's Profile Page and select 'Add Employment Record'
- Enter the required information and select 'Save'.
- The new employment will display in the Employment History section.

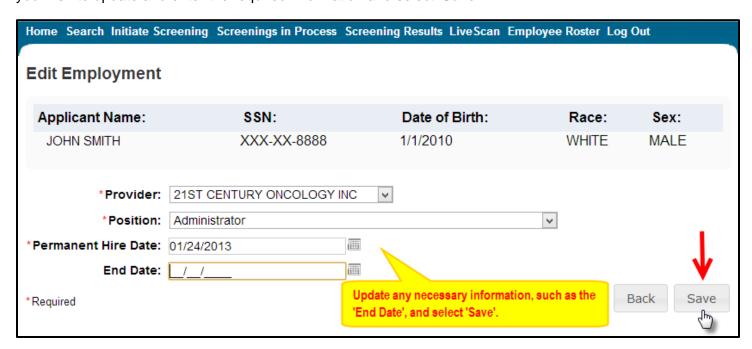


Section **435.06(2)(d)** provides that an applicant may be hired **provisionally** for training and orientation purposes before the screening process is completed. You may add a **provisional hire date** for an applicant with a current 'Screening in Process' status in the Clearinghouse by selecting the 'Add Employment Record' button located at the bottom of the applicant's profile page.

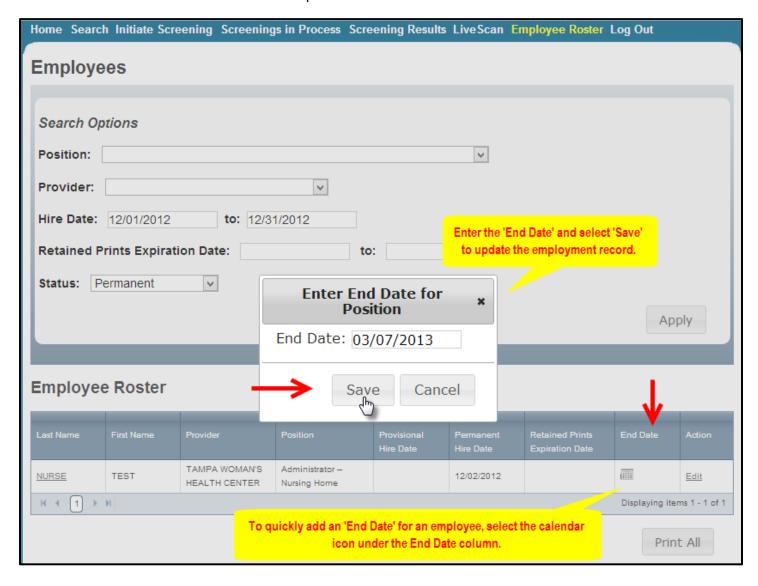


Edit Employment Record

You may edit an employee record from the 'Employment History' section on the profile page, or from the Employee Roster tab. From either page, select the 'Edit' link under the action column for the applicant record you wish to update and enter the required information and select 'Save'.



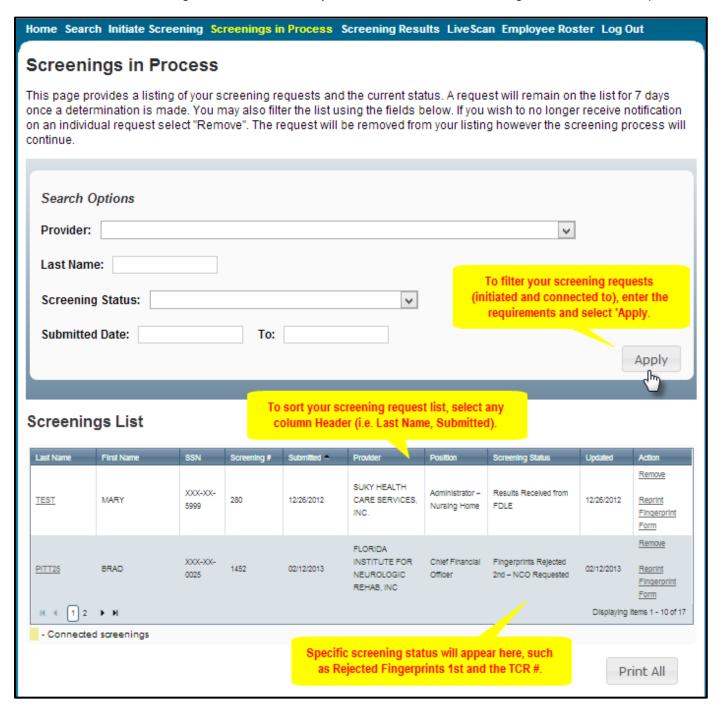
To quickly enter an 'End Date' for an employment record from the **Employee Roster tab**, select the calendar icon in the 'End Date' column. Enter the required information and select 'Save'.



Screenings in Process Tab

The Screenings in Process tab provides a listing of all screening requests you have initiated or connected to and the current status. A request will remain on the list for 7 days once a determination is made.

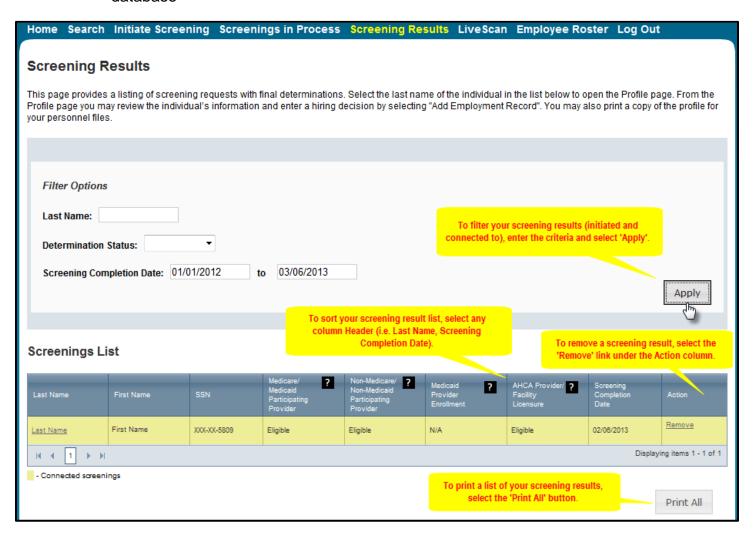
- View an individual's profile page by selecting the last name of the individual
- Filter the list by using the search options and selecting 'Apply'
- Sort the records by selecting any column header
- If you no longer wish to receive email notifications for an individual, select the 'Remove' link under the Action column
 - o The screening will be removed for your list however the screening will continue to be processed



Screening Results Tab

The Screening Results tab provides a listing of all screening requests you have initiated or connected to and the final determination.

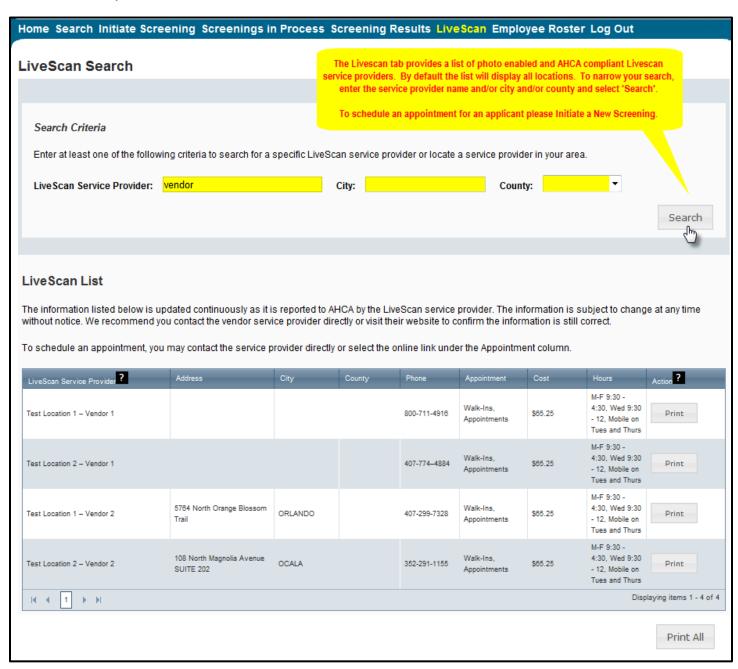
- View an individual's profile page by selecting the last name of the individual
 - To hire the individual and add employment history you must go to the individual's profile page
- Filter the list by using the filter options and selecting 'Apply'
- Sort the records by selecting any column header
- If you no longer wish to receive email notifications for an individual, select the 'Remove' link under the Action column
 - The screening will be removed for your list however the screening will remain in the database



LiveScan Tab

You may select the LiveScan tab on the navigation bar to search for service providers. This list contains information as reported by the LiveScan Service Provider to AHCA. To schedule an appointment please initiate a new screening.

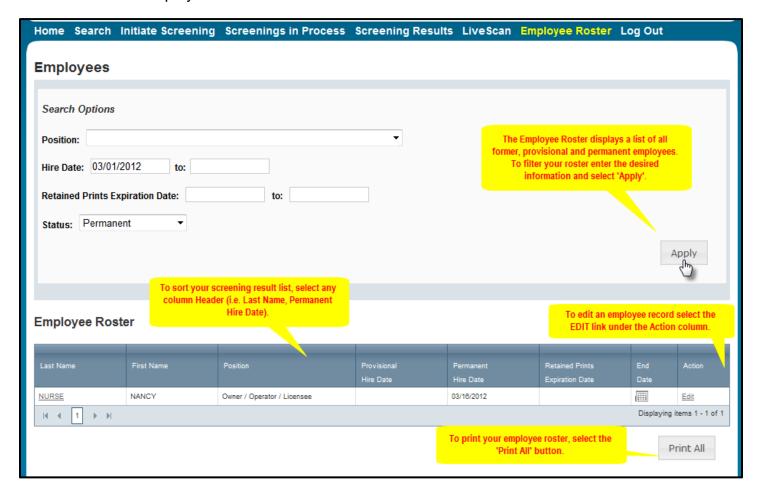
To filter your search use the search criteria and select 'Search'



Employee Roster

The Employee Roster tab provides a listing of your employees as entered through the Employment History section of the individual's profile page.

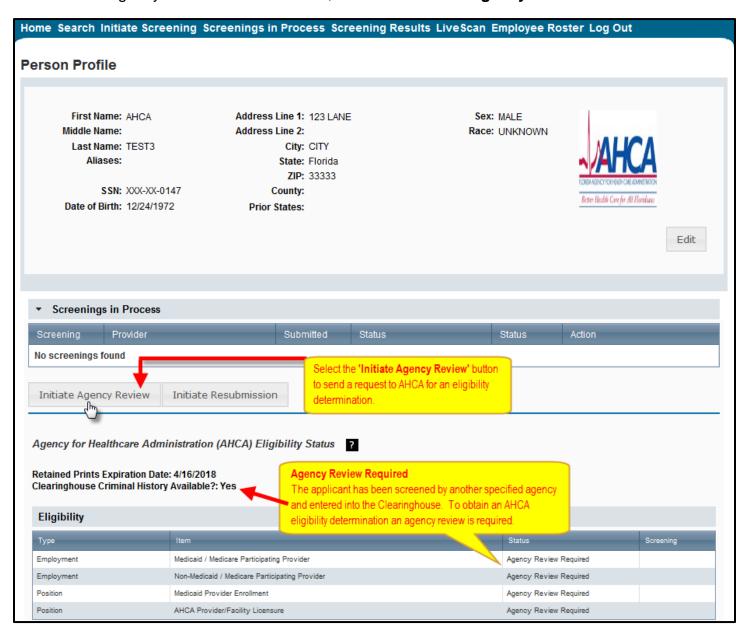
- View an individual's profile page by selecting the last name of the individual
- Filter the list by using the search options and selecting 'Apply'
- Sort the records by selecting any column header
- To edit an employment record select the 'Edit' button in the action column



Initiate Agency Review

If an individual has been screened by another specified agency (i.e. DOH, DCF, etc.) **and** entered into the Clearinghouse, an AHCA provider must request an agency review. This will allow AHCA to make an eligibility determination for employment purposes.

To initiate an agency review for an individual, select the 'Initiate Agency Review' button.



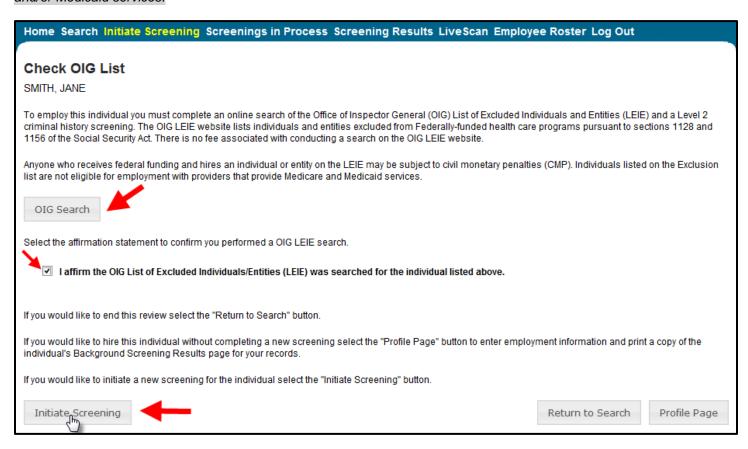
Search Medicare/Medicaid Exclusions (OIG List)

Individuals who do not have a prior screening through the Agency must be manually checked in the Office of Inspector General (OIG) List of Excluded Individuals and Entities (LEIE) upon initial screening. Once an individual has a record in the BGS system an automated review of the OIG LEIE will occur when the list is updated every 30 days.

When you **select the 'OIG Search' button** you will be redirected to the OIG's website. Follow the instructions to search for the individual and complete the OIG LEIE search. Close the OIG website and return to the BGS OIG Search page.

Check the affirmation box to confirm the search was conducted and select 'Initiate Screening' to continue or 'Cancel' if you do not wish to processed with the screening.

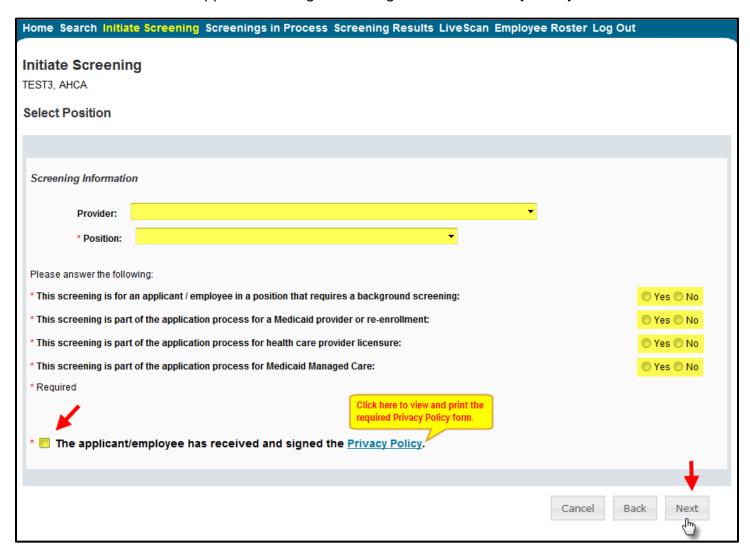
Note: Health care providers that receive federal funding that employs an individual on the LEIE may be subject to civil monetary penalties (CMP). Individuals on the Exclusion List are not eligible for employment with providers of Medicare and/or Medicaid services.



Select Position and Confirm Privacy Policy

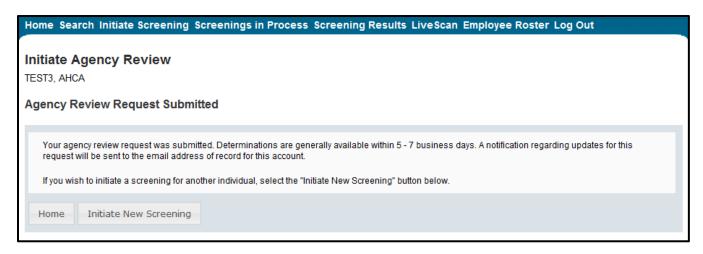
In order to ensure the appropriate criteria is applied during the screening review, the position type and reason for screening the individual must be entered. There may be more than one reason a screening is required, therefore select 'Yes' for all that apply.

- Select the position type that individual is applying for from the drop down list
- Select the type of screening required to hire the individual by selecting 'Yes' or 'No' for each type
- Select the 'Privacy Policy' link to view and print the privacy policy. Check the affirmation box to confirm that the applicant has signed and agreed to the Privacy Policy.

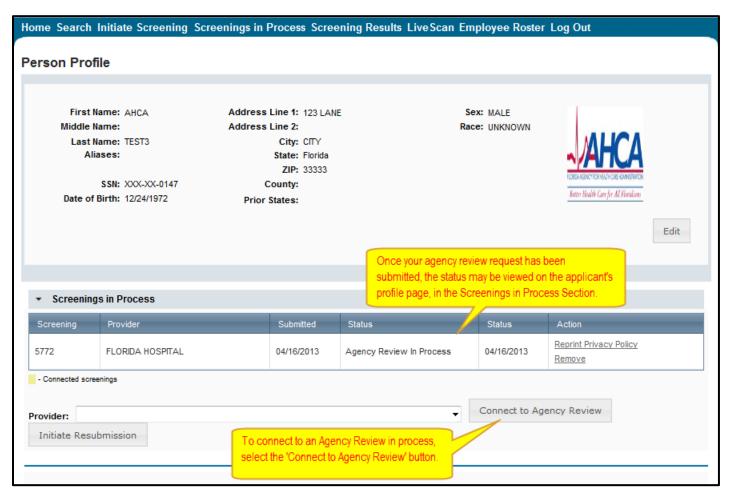


Agency Review Request Submitted

Once the screening request is submitted, select 'Home' if you are done or 'Initiate New Screening' to initiate a screening for another individual.



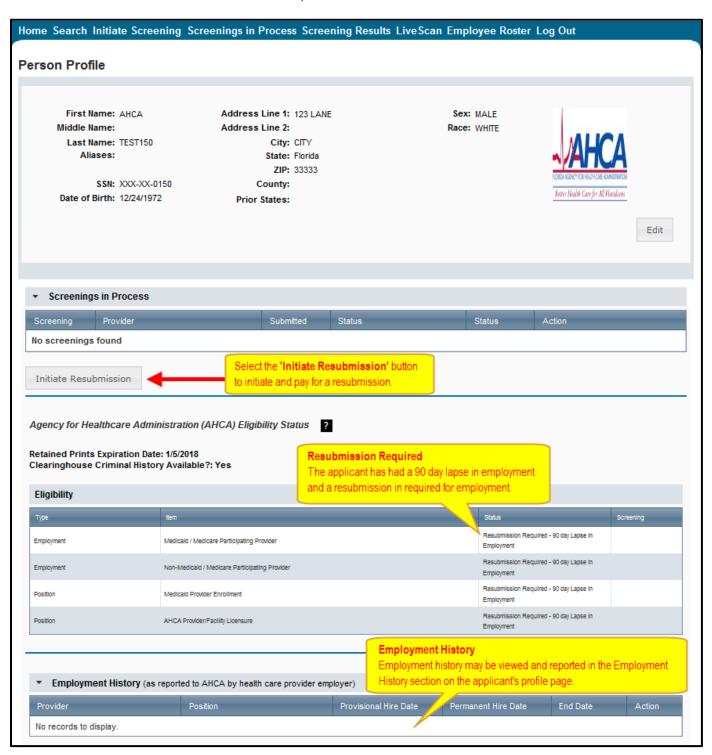
Open the applicant's profile page to view the status of an agency review request, or connect to an agency review.



Initiate Resubmission

The retention of fingerprints (effective for screenings on or after January 1, 2013) provides a cost savings for applicants that are in the Clearinghouse but have had a lapse in employment greater than 90 days. If there has been a 90 day lapse in employment, these applicants would only require a new national criminal history check – a resubmission of the retained fingerprints. A new state criminal history search will also be conducted, at no additional charge.

To initiate a Resubmission for an individual, select the 'Initiate Resubmission' button.



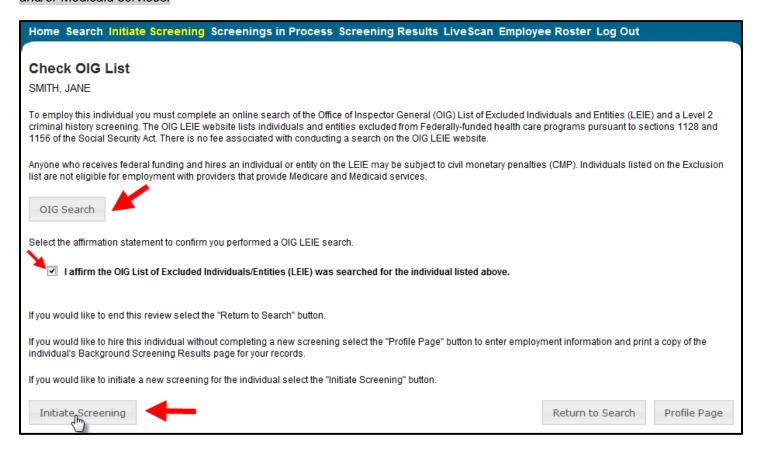
Search Medicare/Medicaid Exclusions (OIG List)

Individuals who do not have a prior screening through the Agency must be manually checked in the Office of Inspector General (OIG) List of Excluded Individuals and Entities (LEIE) upon initial screening. Once an individual has a record in the BGS system an automated review of the OIG LEIE will occur when the list is updated every 30 days.

When you **select the 'OIG Search' button** you will be redirected to the OIG's website. Follow the instructions to search for the individual and complete the OIG LEIE search. Close the OIG website and return to the BGS OIG Search page.

Check the affirmation box to confirm the search was conducted and select 'Initiate Screening' to continue or 'Cancel' if you do not wish to processed with the screening.

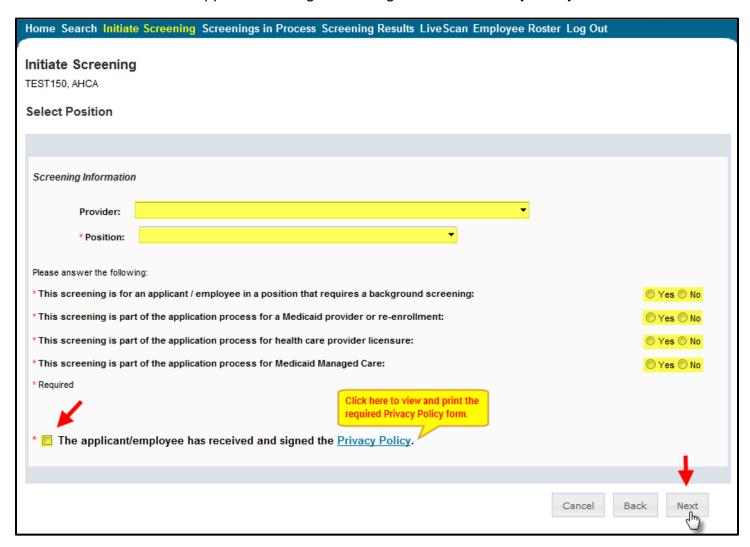
Note: Health care providers that receive federal funding that employs an individual on the LEIE may be subject to civil monetary penalties (CMP). Individuals on the Exclusion List are not eligible for employment with providers of Medicare and/or Medicaid services.



Select Position and Confirm Privacy Policy

In order to ensure the appropriate criteria is applied during the screening review, the position type and reason for screening the individual must be entered. There may be more than one reason a screening is required, therefore select 'Yes' for all that apply.

- Select the position type that individual is applying for from the drop down list
- Select the type of screening required to hire the individual by selecting 'Yes' or 'No' for each type
- Select the 'Privacy Policy' link to view and print the privacy policy. Check the affirmation box to confirm that the applicant has signed and agreed to the Privacy Policy.



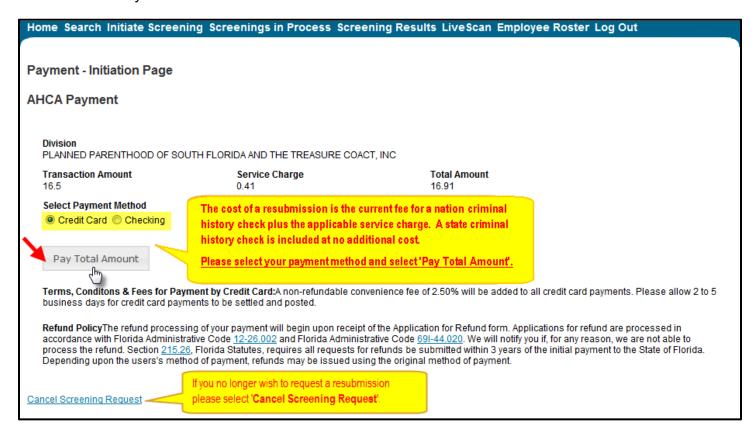
Initiate Payment - Credit Card

The cost of a resubmission is the current fee for a national criminal history check. Resubmission payment options include:

- Credit Card
 - MasterCard
 - Discover
 - o American Express
- E-Checking (skip to page 34 for E-Checking instructions)
 - Personal or Business checking/savings account

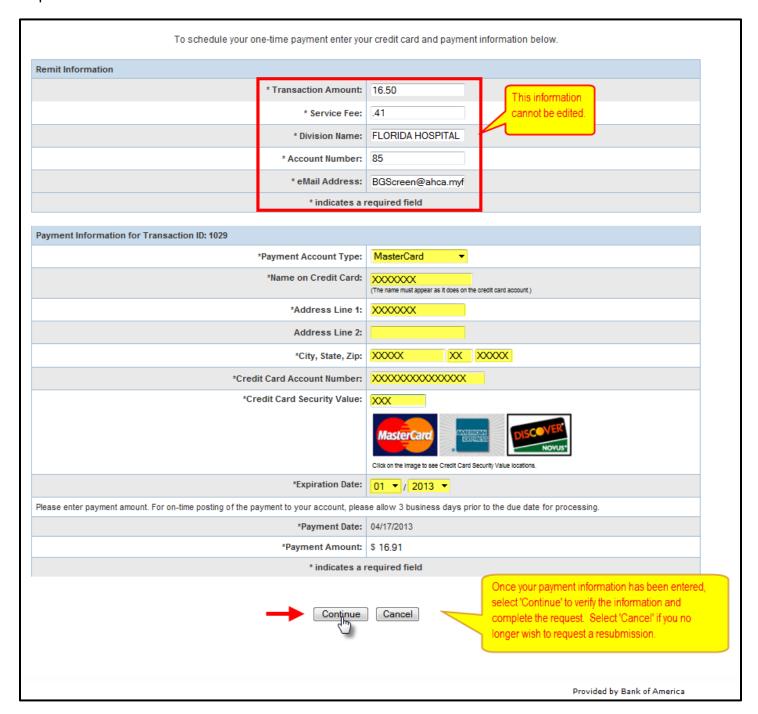
To pay for the resubmission:

- Select payment method
- Select Pay Total Amount to continue



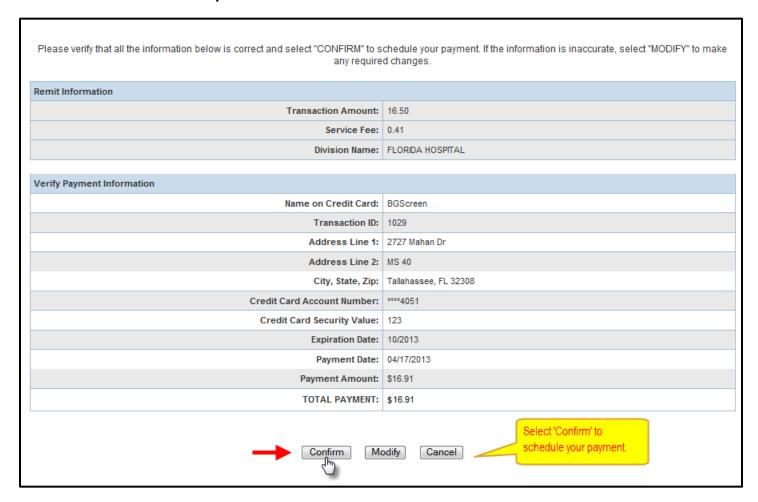
Enter Payment Information - Credit Card

Enter the payment information and select 'Continue' to verify payment information and submit the request.



Review Payment Information - Credit Card

Review your payment information and select Confirm to submit your payment. *Skip to page 37 to submit the resubmission request.*



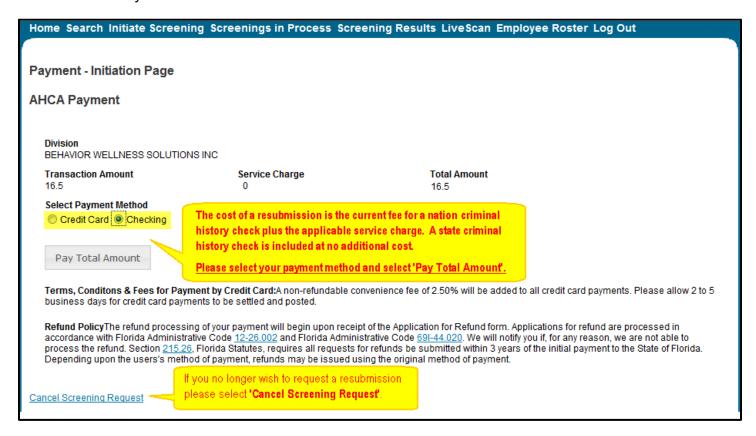
Initiate Payment - E-Checking

The cost of a resubmission is the current fee for a national criminal history check. Resubmission payment options include:

- Credit Card (refer to page 32 for Credit Card instructions)
 - MasterCard
 - Discover
 - American Express
- E-Checking
 - o Personal or Business checking/savings account

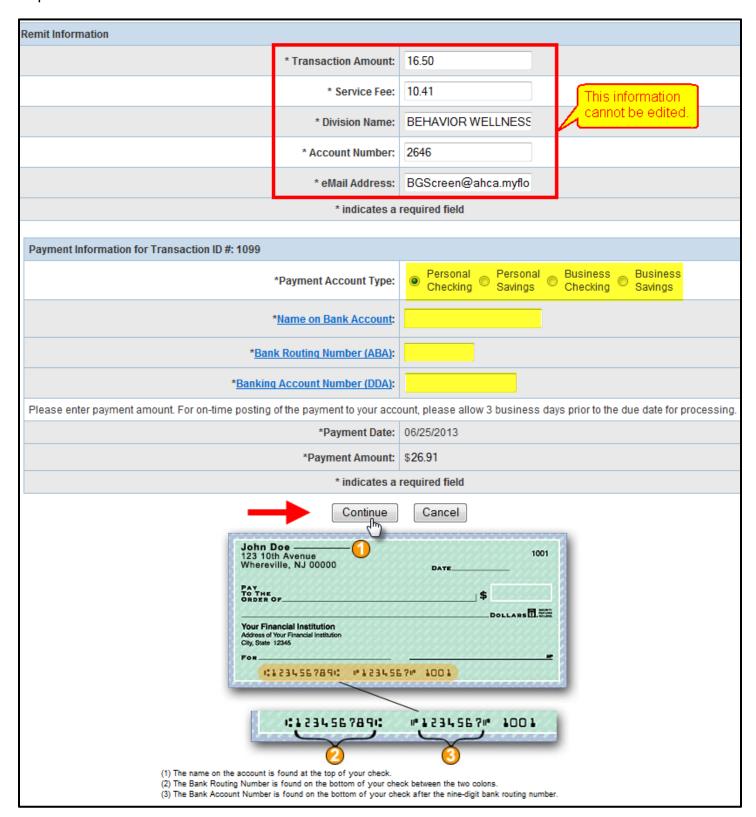
To pay for the resubmission:

- Select payment method
- Select Pay Total Amount to continue



Enter Payment Information - E-Checking

Enter the payment information and select 'Continue' to verify payment information and submit the request.



Review Payment Information - E-Checking

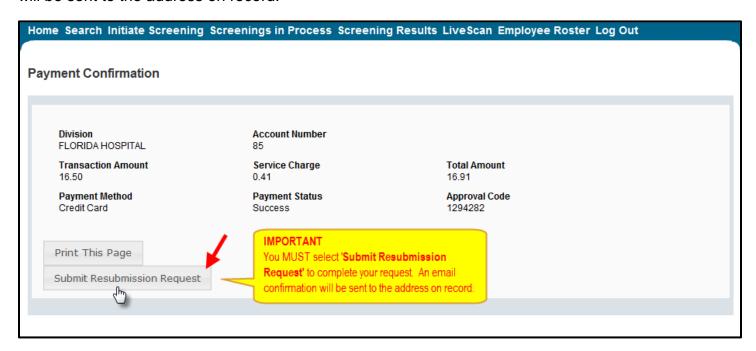
To submit you payment using E-Checking you **must answer a challenge question**. Please enter the email address associated with the account you used to log into the website (https://apps.ahca.myflorida.com/SingleSignOnPortal).

Review your payment information and select Confirm to submit your payment.

Challenge Question			
Que	estion:	Please enter the email address you use for the AHCA BGS website:	
An	nswer:		
Remit Information			
Transaction An	mount:	16.50	
Service	ce Fee:	: 0	
Division I	Name:	BEHAVIOR WELLNESS SOLUTIONS INC	
Verify Payment Information			
Name on Account:		mp	
Transacti	tion ID:	1099	
Bank I	Name:	BANK OF AMERICA, NA	
Bank Routing Number (ABA): 063000047		063000047	
Banking Account Number (DDA): 3543685438			
Payment Date: 06/25/2013			
Payment An	Payment Amount: \$16.50		
TOTAL PAY	YMENT:	\$16.50	
Confirm Modify Cancel			

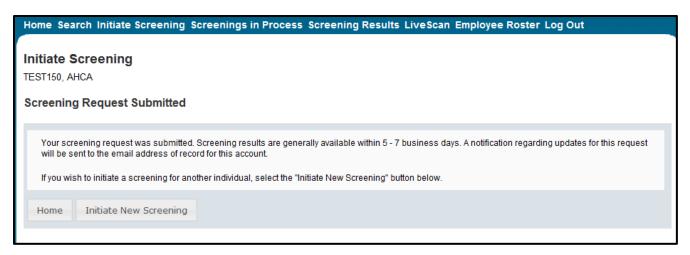
Submit Resubmission Request

Select 'Submit Resubmission Request' to complete this request. An email confirmation and receipt will be sent to the address on record.



Resubmission Request Submitted

Once the screening request is submitted, select 'Home' if you are done or 'Initiate New Screening' to initiate a screening for another individual.



Open the applicant's profile page to view the status of a resubmission request.

