



Recruiting

Search for actions or people

PAIGE SHOEMAKER (00899502)

Job Requisitions Preferences

COMMISSIONER OF FINANCIAL REGULATION - 43004009

(37902)View Details

Job Postings (0)

Status : Open

Hiring Manager : SHERRI BRALEY
Age : 0d

Talent Pipeline Hide Talent Pipeline

View active candidates (37)

View all candidates (39)

Forwarded	Invited To Apply	New	Review	1st Interview	2nd Interview	Offer	Ready to Hire
0	0	37	0	0	0	0	0

Candidates :View New (37)

Action 0 Selected

Filter Options

Enter Applicant Name

- Linda Chanly
- Eric Soreisen
- Scott Williams
- Christopher Jenkins
- Richard Burns
- Lonrae Salimone
- DEBORAH DEAN
- Donald Hudgins
- Acey Stinson
- Rene Lee
- David Kister
- Daniel Thommen
- Richard Stone
- Jay Fant
- George Schwyzer
- Arthur Garcia
- Gwynn Virostek
- Nichelle Thomas
- Anthony Conway
- Kevin Rosen
- William Jannace
- Rose Schindler
- Jaclynn Cusell
- KRISH Marivada
- Linda Bonvicin
- Amanda Olney
- Ronald Rubin
- Michael Stevens
- Steven Petty
- Justin Jett
- Monica Rutkowski
- Johnny Vilela

Katherine Brooks (External Candidate)

361-774-8031 katherinebrooksmba@gmail.com Cover Letter Resume

Application

* Status: New

Country: United States

Attachments to be included in all Job Submissions: [Submission Attachments 4 attached](#)

Attachments Added After Submission: [Attach a document](#)

Vacancy Source: People First

Relatives: To your knowledge, do you have any relatives working in this agency? No

Right To First Interview: Not Applicable
If you responded yes to the above statement, attach a copy of your official layoff letter.

Veteran Status: None of the Above

ARE YOU CURRENTLY EMPLOYED WITH THE AGENCY TO WHICH YOU ARE CURRENTLY APPLYING? No

HAVE YOU RECEIVED A PROMOTIONAL APPOINTMENT WITHIN THE CAREER SERVICE, SUBSEQUENT TO ACTIVE MILITARY SERVICE, WITH THE AGENCY TO WHICH YOU ARE APPLYING? No

People First Initial VP Review: No Selection

People First Eligible VP Category (if different): No Selection





Agency Final VP Eligibility Review: No Selection

Agency Final VP Category Determination: No Selection

Background Information

A "yes" answer to these questions will not automatically bar you from employment relation to the position you are applying are considered. [see 112.011, F.S.]

Have you ever been convicted of a felony or

-  ELLIOT ROSENBLATT
-  Paul Saroj
-  Robin Hermanson
-  Katherine Brooks

a first degree misdemeanor ?

If yes, what were the charges ?

Where ? (City/State)

Date

Have you ever pled nolo contendere or guilty to a crime which is a felony or a first degree misdemeanor ? No

If yes, what were the charges ?

Where ? (City/State)

Date

Have you ever had the adjudication of guilt withheld for a crime which is a felony or first degree misdemeanor ? No

If yes, what were the charges ?

Where ? (City/State)

Date

Signature

I am aware that any omissions, falsifications, misstatements, or misrepresentation grounds for termination at a later date. I understand that any information I give me information about my ability, employment history, and fitness for employment by ei and organizations to investigators, human resources staff, and other authorized er consent shall continue to be effective during my employment if I am hired. I unders records. I certify to the best of my knowledge and belief all of the statements conta made in good faith.

If applicable, Complete Qualifying Questions prior to submitting your applica

By checking this box, I certify that I have read and agree with these statements Yes

Interview Result overdueinterviews

Screening Details

In the State of Florida application you indicated that you are legally authorized to work in the United States, Are you one of the following: Citizen or National of the United States; Lawful Permanent Resident; Refugee; Asylee, or Temporary Resident (does not include non-immigrant visas such as F-1, J-1, H-1, etc.)? Yes

Will you now or in the future require sponsorship for employment visa status (e.g. H-1B visa status)? No

Do you have at least five (5) years of responsible private sector experience working Yes

fulltime in areas within the subject matter jurisdiction of the Office of Financial Regulation within the previous ten (10) years?

If you answered "yes" to the previous question, please elaborate on your experience. If no, please respond N/A.

From 2007 until 2015 I worked with a Florida Corporation licensed as a Third Party Administrator through the Office of Financial Regulation. Originally responsible for corporate licensing in all states and jurisdictions I was promoted to lead the Compliance Department in all aspects of regulatory oversight at the state and federal level reporting to the board of directors with direct communication to legal and head of operations.

Do you have at least five (5) years of experience as a senior examiner or other senior employee of a state or federal agency having regulatory responsibility over financial institutions, finance companies, or securities companies within the previous ten (10) years?

Yes

If you answered "yes" to the previous question, please elaborate on your experience. If no, please respond N/A.

During my time as leadership for CHCS, I was directly responsible for all department licensure and department audit and oversight requests; to ensure readiness, me and my department were responsible for ensuring corporate readiness through review and collaboration with the operational departments. Every Department of Insurance complaint was personally read and reviewed by me before detailed fact finding report was provided to the Client Insurer for response. Corrective action if required was overseen by my department.

Periods of Employment

Katherine Brooks MBA
Consultant

Details

Name of Employer*	Katherine Brooks MBA
Your Job Title*	Consultant
Currently Employed*	Yes
Start Date*	05/22/2017
End Date*	MM/DD/YYYY
Hours Per Week*	varies
Employer's Address	233 N Washington Ave, Madison, FL 32340
Supervisor's Name	
Supervisor's Phone Number	
Duties and Responsibilities*	Perform regulatory research and assist with third party administrator licensing
Reason For Leaving*	seeking full-time opportunity
Your name, if different during employment	

Forest Hill Church of Christ
Secretary

Details

Name of Employer* Forest Hill Church of Christ
Your Job Title* Secretary
Currently Employed* No
Start Date* 03/07/2016
End Date* 05/19/2017
Hours Per Week* 8
Employer's Address 3950 Forest Hill-Irene Rd, Memphis TN 38125
Supervisor's Name Barry Grider
Supervisor's Phone Number 901-751-2444
Duties and Responsibilities* Perform regulatory research and assist with third party administrator licensing. Since 5/2017 Responsible for assisting the Preacher and Elders with administrative functions, assessing needs and handle as appropriate while maintaining privacy and confidentiality.
Reason For Leaving* Moving
Your name, if different during employment

CHCS Services Inc.

Compliance Lead

▼ Details

Name of Employer* CHCS Services Inc.
Your Job Title* Compliance Lead
Currently Employed* No
Start Date* 09/17/2007
End Date* 04/30/2015
Hours Per Week* 40+
Employer's Address 411 N Baylen St, Pensacola, FL 32501
Supervisor's Name Partha Deka
Supervisor's Phone Number 850-435-8100
Duties and Responsibilities* My vision expanded year after year and my position was uniquely diverse requiring risk management and compliance. Development and oversight of a Corporate Compliance Program. Licensing and Registration renewals and reporting was performed timely in all US relationships with clients, state regulatory agencies (i.e. Department of Insurance) interfacing with Product Development, Client Services and Marketing/Sales for regulatory compliance. Receive federal, state and contractual required trainings; development of privacy, on-site audits/visits; responsible for delegated oversight of outsourcing vendors * state/federal laws and regulations * Maintain awareness of trends and developments verbally and written summary bulletins with compliance, management and operational agencies.
Reason For Leaving* Moving to Memphis TN
Your name, if different during employment

San Patricio County Department of Public Health

Health Administrator

▼ Details

Name of Employer* San Patricio County Department of Public Health
Your Job Title* Health Administrator
Currently Employed* No
Start Date* 09/15/2005
End Date* 08/31/2007
Hours Per Week* 40+
Employer's Address 400 West Sinton Street, Sinton, TX 78387
Supervisor's Name Judge Terry Simpson
Supervisor's Phone Number 361-364-9301
Duties and Responsibilities* San Patricio County: 707 square miles, population of 68,000 and 8 incorporated cities during my tenure). Appointed by and reported to a publicly-elected governing board: County programs, 6 directors/program managers and 50 employees * Provided operational status * Successfully administered a budget of over \$1 Million in grants (State & Federal grant awards) * Responsible for keeping current with existing laws, policies and procedures * Responsible for strategic planning of diverse programs and organizing, implementing

secure program continuity for public health services including Immunizations, Flu Health Emergency Preparedness, WIC, Community Based Education Programs, mentored and evaluated clerical and professional staff (RN's, LVN's, degreed per county demographics. * Promoted public health issues via media releases and sp relationships with city and county officials and medical personnel (city managers, medical personnel, hospitals, physician's medical society and business/communit Utilized relations with city and county officials to implement programs responsive Physician) to ensure local, state and federal public health laws were implementec

Reason For Leaving* Moved to Florida
Your name, if different during employment Katherine Sessions

Corpus Christi Women's Clinic

Branch Office Manager

▼ Details

Name of Employer* Corpus Christi Women's Clinic
Your Job Title* Branch Office Manager
Currently Employed* No
Start Date* 10/02/2000
End Date* 09/14/2005
Hours Per Week* 40
Employer's Address Corpus Christi, Texas
Supervisor's Name Craig Winkle
Supervisor's Phone Number 361-994-6303

Duties and Responsibilities* Promotion summary: initially hired as Administrative Assistant to Clinic Administrator to Branch Office Manager during clinic reorganization. * Responsible for medical Responsible for Physician credentialing (hospitals/insurance); oversight of on-site development of operational efficiencies; developed and implemented cross-training ensuring a quality workforce of clerical and clinical staff (LVN/Medical Assistants) efficient business office related functions including insurance verification, review of pay/coinsurance, payments on account and collection of past due balances * Res

Reason For Leaving* Promotion Opportunity
Your name, if different during employment Katherine Sessions

▼ **Formal Education**

University of the Incarnate Word

Corpus Christi, Texas

▼ Details

Name of School* University of the Incarnate Word
Location* Corpus Christi, Texas
Start Date* 01/10/2005
End Date 05/06/2006
Course of Study Master of Business Administration
Degree Earned (transcripts may be required)* Masters
Credit Hours – Quarter
Credit Hours – Semester

University of the Incarnate

Corpus Christi, Texas

▼ Details

Name of School* University of the Incarnate
Location* Corpus Christi, Texas
Start Date* 06/03/2002
End Date 05/08/2004
Course of Study Bachelor of Business Administration; Word Bachelors

Degree Earned
 (transcripts may be required)
Credit Hours – Quarter
Credit Hours – Semester

Memphis School of Preaching
 Memphis, TN

▼ Details

Name of School* Memphis School of Preaching
Location* Memphis, TN
Start Date* 06/22/2015
End Date 06/18/2017
Course of Study Religious Studies
Degree Earned
 (transcripts may be required) Not Applicable
Credit Hours – Quarter
Credit Hours – Semester

▼ **Language Skills**

There are no items in this section.

▼ **License, Registration or Certification**

Fellow of Information Privacy
 0000993861

▼ Details

License, Registration or Certification* Fellow of Information Privacy
Number 0000993861
State Licensing Agency
Received 06/04/2018
Expires MM/DD/YYYY

Certified Information Privacy Manager (CIPM)

▼ Details

License, Registration or Certification* Certified Information Privacy Manager (CIPM)
Number
State Licensing Agency International Association of Privacy Professionals
Received 05/22/2015
Expires MM/DD/YYYY

Certified Information Privacy Professional: US Designation (CIPP/US)

▼ Details

License, Registration or Certification* Certified Information Privacy Professional: US Designation (CIPP/US)
Number
State Licensing Agency International Association of Privacy Professional:
Received 08/31/2012
Expires MM/DD/YYYY

Certified Compliance Professional (CCP)

▼ Details

License, Registration or Certification* Certified Compliance Professional (CCP)
Number
State Licensing Agency Association of Insurance Compliance Professional (AICP)
Received 08/31/2014
Expires MM/DD/YYYY

Health Care Anti-Fraud Association (HCAFA)

▼ Details

License, Registration or Certification* Health Care Anti-Fraud Association (HCAFA)
Number
State Licensing Agency AHIP
Received 05/25/2012
Expires MM/DD/YYYY

HIPAA Associate (HIPAAA)

▼ Details

License, Registration or Certification* HIPAA Associate (HIPAAA)
Number
State Licensing Agency AHIP
Received 06/29/2012
Expires MM/DD/YYYY

Health Insurance Associate (HIA)

▼ Details

License, Registration or Certification* Health Insurance Associate (HIA)
Number
State Licensing Agency AHIP
Received 05/28/2010
Expires MM/DD/YYYY

▼ **Job-Related Training or Course Work**

There are no items in this section.

▼ **Knowledge, Skills and Abilities**

Management

▼ Details

Knowledge, Skills and Abilities Management

PRIVACY

▼ Details

Knowledge, Skills and Abilities PRIVACY

COMPLIANCE

▼ Details

Knowledge, Skills and Abilities COMPLIANCE

Items per page: 50 ▼

Page 1 of 1

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Katherine Brooks, MBA

361-774-8031

KatherineBrooksMBA@gmail.com



Ethical and experienced Compliance and Privacy Professional who leads by example, has 7+ years of proven experience with consistent growth and development. Capable of managing the ever changing and demanding regulatory environment; thriving when working with colleagues from entry level to the board of directors while promoting a culture where ethical and compliant behavior is rewarded.

EDUCATION - TRAINING

Master of Business Administration, University of the Incarnate Word	May 2006
Bachelor of Business Administration, University of the Incarnate Word (Cum Laude)	May 2004
Memphis School of Preaching, Wives Classes Certificate of Completion	June 2017

CERTIFICATIONS - DESIGNATIONS

Fellow of Information Privacy (FIP)	June 2018
Certified Information Privacy Manager (CIPM)	May 2015
Certified Information Privacy Professional: US Designation (CIPP/US)	August 2012
Certified Compliance Professional (CCP)	August 2014
Health Care Anti-Fraud Associate (HCAFA)	November 2012
AHIP HIPAA Associate (HIPAAA)	June 2012
AHIP Health Insurance Associate (HIA)	May 2010

PROFESSIONAL EXPERIENCE

Independent Contractor 5/2017 - current
 Perform regulatory research and assist with third party administrator licensing.

Secretary (part-time)

Forest Hill Church of Christ, Memphis, TN 3/2016 - 5/2017
 Responsible for assisting the Preacher and Elders with administrative functions: answering and screening incoming phone calls and visitors, assessing needs and handle as appropriate while maintaining privacy and confidentiality.

Compliance Lead

CHCS Services Inc., Pensacola, FL (subsidiary of IGATE) 9/2007 - 4/2015

Promotion summary: Licensing Administrator (2007); Senior Compliance Analyst (2008); Compliance Lead (2009). Opportunities and responsibilities to contribute to the organizations' mission and vision expanded year after year and my position was uniquely diverse requiring me and my team to possess a dynamic and diverse skill set in privacy and compliance. Development and oversight of a Corporate Compliance Program and Privacy Workgroup

- Responsible for ensuring Corporate Licensing and Registration renewals and reporting was performed timely in all US Sites and jurisdictions Respo
- Responsible for developing and maintaining relationships with clients, state regulatory agencies (i.e. Department of Insurance, Secretary of State) and internal stakeholders Respo
- Matter Expert interfacing with Product Development, Client Services and Marketing/Sales for Request for Proposal (RFP) and new client set-up Subject
- Ensure employees receive federal, state and contractual required trainings; development of privacy, insurance fraud and general compliance materials Ensure
- Facilitated client on-site audits/visits; responsible for delegated oversight of outsourcing vendors Facilita
- Maintai

Resume: Katherine Brooks, MBA

n awareness of trends and developments of existing and proposed regulations. Communicating changes verbally and written summary bulletins with compliance, management and operation areas. Resolving questions with customers and/or government agencies.

Health Administrator

San Patricio County Department of Public Health, Sinton, Texas 9/05 – 8/07

San Patricio County: 707 square miles, population of 68,000 and 8 incorporated cities; home to US Naval Station Ingleside and major industries (during my tenure). Appointed by and reported to a publicly-elected governing board: County Judge and 4 County Commissioners.

- Managed 4 locations, 9 programs, 6 directors/program managers and 50 employees Manag
- Provided operational reports on the health and wellness of the County and financial status Provid
- Successfully administered a budget of over \$1 Million in grants (State & Federal) and county funds; responsible for securing and managing grant awards Succes
- Responsible for keeping current with existing laws, policies and procedures of State and Federal standards and payor requirements. Responsible for strategic planning of diverse programs and organizing, implementing and oversight of work plans to meet program objectives and to secure program continuity for public health services including Immunizations, Family Planning, Indigent Health Care, Disease Surveillance, Public Health Emergency Preparedness, WIC, Community Based Education Programs, Environmental Health, and Animal Control Respo
- Identified, trained, mentored and evaluated clerical and professional staff (RN's, LVN's, degreed personnel) to ensure a qualified and diverse workforce that mirrored the county demographics. Identifi
- Promoted public health issues via media releases and speaking engagements Promot
- Responsible for developing cooperative relationships with city and county officials and medical personnel (city managers, county commissioners, city police, county sheriff, fire and emergency medical personnel, hospitals, physician's medical society and business/community civic organizations) to achieve local, state and federal objectives. Utilized relations with city and county officials to implement programs responsive to identified needs Respo
- Collaborated with the Health Authority (Licensed Physician) to ensure local, state and federal public health laws were implemented, and monitored to evaluate and improve health and welfare Collab

Branch Office Manager

Corpus Christi Women's Clinic, Corpus Christi, Texas 10/00 – 9/05

Promotion summary: initially hired as Administrative Assistant to Clinic Administrator/CPA, promoted to Support Services Manager and then advanced to Branch Office Manager during clinic reorganization.

- Responsible for medical record management and appointed HIPAA Compliance Officer Respo
- Responsible for Physician credentialing (hospitals/insurance); oversight of on-site audits/reviews Respo
- Key participant in strategic planning, organizing and development of operational efficiencies; developed and implemented cross-training of clerical staff to facilitate clinic reorganization. Key
- Responsible for ensuring a quality workforce of clerical and clinical staff (LVN/Medical Assistants) Respo
- Responsible for operational planning, organizing and ensuring efficient business office related functions including insurance verification, review of charges for accuracy and completeness, collection of Respo

Resume: Katherine Brooks, MBA

co-pay/coinsurance, payments on account and collection of past due balances

- nsible for Shareholders Meeting notification, materials and minutes

Respo

ASSOCIATIONS

International Association of Privacy Professionals (IAPP)

2012 - Present

Association of Insurance Compliance Professionals (AICP)

2010 - Present

AICP Gulf State Chapter: Vice President: Sept 2016 – Present

AICP Gulf State Chapter: President Elect September 2018

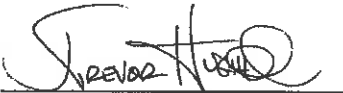
The Chair and Directors of the
International Association of Privacy Professionals

decree that in recognition of the successful demonstration of the requisite knowledge in privacy law, privacy management and/or technology of privacy, we do confer upon:

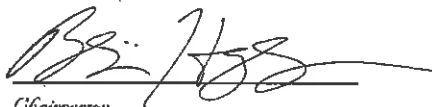
Katherine Brooks

the designation of
Fellow of Information Privacy (FIP)

With all rights, privileges and distinction thereunto appertaining. In witness hereof we have caused this certificate to be signed by the duly authorized officers of the Association.



President and CEO, IAPP



Chairperson

0000993861

Certificate Number

June 4, 2018

Effective Date



The Chairperson and Directors of the

International Association of Privacy Professionals

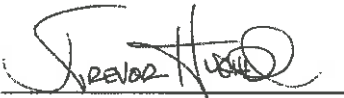
*decree that in recognition of the successful demonstration of the requisite
knowledge of information privacy with advanced concentration in U.S. private-sector laws, standards and practices,
we do confer upon:*

Katherine Brooks

the designation of

Certified Information Privacy Professional/United States (CIPP/US)

*With all rights, privileges and distinction thereunto appertaining.
In witness hereof we have caused this certificate to be signed by the
duly authorized officers of the Association.*



President and CEO, IAPP



Chairperson



0000993861

Certificate Number

September 1, 2017

Effective Date

August 31, 2019

Expiration Date

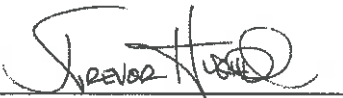
The Chairperson and Directors of the
International Association of Privacy Professionals

*decree that in recognition of the successful demonstration of the requisite
knowledge of information privacy management, we do confer upon:*

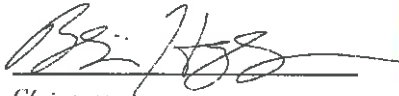
Katherine Brooks

the designation of
Certified Information Privacy Manager (CIPM)

*With all rights, privileges and distinction thereunto appertaining.
In witness hereof we have caused this certificate to be signed by the
duly authorized officers of the Association.*



President and CEO, IAPP



Chairperson



0000993861

Certificate Number

June 1, 2017

Effective Date

May 31, 2019

Expiration Date