



COMMISSIONER OF FINANCIAL REGULATION - 43004009

(37902)View Details

Job Postings (1)

Status : Open

Hiring Manager : SHERRI BRALEY
Age : 0d

Talent Pipeline Hide Talent Pipeline

[View active candidates \(11\)](#) [View all candidates \(11\)](#)

Forwarded	Invited To Apply	New	Review	1st interview	2nd Interview	Offer	Ready to Hire
0	0	11	0	0	0	0	0

Candidates :View all candidates (11)

Action 0 Selected

Filter Options

Enter Applicant Name

Name

Scott Williams

David Kister (External Candidate)

[8058869632](#) David_Kister@yahoo.com [Cover Letter](#) [Resume](#)

Application

*Status: New

Country: United States

Attachments to be Included In all Job Submissions: [Submission Attachments 0 attached](#)

Attachments Added After Submission: [Attach a document](#)

Vacancy Source: Professional Networking Website

Relatives: To your knowledge, do you have any relatives working in this agency? No

Right To First Interview: Not Applicable
If you responded yes to the above statement, attach a copy of your official layoff letter applying for this vacancy.

Veteran Status: None of the Above

ARE YOU CURRENTLY EMPLOYED WITH THE AGENCY TO WHICH YOU ARE CURRENTLY APPLYING? No

HAVE YOU RECEIVED A PROMOTIONAL APPOINTMENT WITHIN THE CAREER SERVICE, SUBSEQUENT TO ACTIVE MILITARY SERVICE, WITH THE AGENCY TO WHICH YOU ARE APPLYING? No

People First Initial VP Review: No Selection

People First Eligible VP Category (if different): No Selection

Agency Final VP Eligibility Review: No Selection

Agency Final VP Category Determination: No Selection

Background Information

A "yes" answer to these questions will not automatically bar you from employment relatedness, severity, and date of the offense in relation to the position you are applying for. [see 112.011, F.S.]

No

Have you ever been convicted of a felony or a first degree misdemeanor ?

If yes, what were the charges ?

Where ? (City/State)

Date

Have you ever pled nolo contendere or guilty to a crime which is a felony or a first degree misdemeanor ?

If yes, what were the charges ?

Where ? (City/State)

Date

Have you ever had the adjudication of guilt withheld for a crime which is a felony or first degree misdemeanor ?

If yes, what were the charges ?

Where ? (City/State)

Date

Signature

I am aware that any omissions, falsifications, misstatements, or misrepresentation disqualify me for employment and, if I am hired, may be grounds for termination at understand that any information I give may be investigated as allowed by law. I co release of information about my ability, employment history, and fitness for employ employers, schools, law enforcement agencies, and other individuals and organiza investigators, human resources staff, and other authorized employees of Florida st for employment purposes. The consent shall continue to be effective during my en hired. I understand that applications submitted for state employment are public rec the best of my knowledge and belief all of the statements contained herein and on are true, correct, complete, and made in good faith.

If applicable, Complete Qualifying Questions prior to submitting your applica

By checking this box, I certify that I have read and agree with these statements

Yes

Interview Result
overdueInterviews

Screening Details

In the State of Florida application you indicated that you are legally authorized to work in the United States, Are you one of the following: Citizen or National of the United States; Lawful Permanent Resident; Refugee; Asylee, or Temporary Resident (does not include non-immigrant visas such as F-1, J-1, H-1, etc.)?

Yes

Will you now or in the future require sponsorship for

No

employment visa status (e.g. H-1B visa status)?

Do you have at least five (5) years of responsible private sector experience working fulltime in areas within the subject matter jurisdiction of the Office of Financial Regulation within the previous ten (10) years?

Yes

If you answered "yes" to the previous question, please elaborate on your experience. If no, please respond N/A.

Was responsible for ensuring that the private institutions that I have worked at adhered to state and federal regulations in accordance with Counterparty and country risk reporting in addition as credit approver that lending laws were in compliance.

Do you have at least five (5) years of experience as a senior examiner or other senior employee of a state or federal agency having regulatory responsibility over financial institutions, finance companies, or securities companies within the previous ten (10) years?

No

If you answered "yes" to the previous question, please elaborate on your experience. If no, please respond N/A.

N/A

Periods of Employment

Regions Bank

3
01

Director, Head of Counterparty & Country Risk Management

Details

Name of Employer* Regions Bank
 Your Job Title* Director, Head of Counterparty & Country Risk Management
 Currently Employed* Yes
 Start Date* 08/25/2014
 End Date* MM/DD/YYYY
 Hours Per Week* 45
 Employer's Address Atlanta, GA
 Supervisor's Name
 Supervisor's Phone Number
 Duties and Responsibilities* * Actively manage counterparty, cross border, and sovereign exposures related to lending, investing, global trade, and other clearing activities enterprise wide. * Ap up to \$300 million in single counterparty credit limits and up to \$600 million for crc sovereign exposure. * Chairman of the Counterparty Risk Review Committee and on various other committees including New Products and Initiatives, BOLI, and R Responsible for establishing all counterparty and country risk policies, procedures underwriting methodologies. * Work with Market Risk in the development of expor calculations. * Develop, review, and approve all daily, monthly, and quarterly man reporting packages. * Work closely with legal department and outside counsel in l and approval of all master trading agreements.
 Reason For Leaving* Relocating
 Your name, if different during employment

CAPITAL ONE FINANCIAL CORPORATION

5
1

Manager, Counterparty Risk Group

Details

Name of Employer* CAPITAL ONE FINANCIAL CORPORATION

Your Job Title* Manager, Counterparty Risk Group
Currently Employed No
Start Date* 11/17/2008
End Date* 08/22/2014
Hours Per Week* 40+
Employer's Address McLean, VA
Supervisor's Name
Supervisor's Phone Number
Duties and Responsibilities* * Supervise a team of analysts responsible for managing the Corporation's counterparty credit risks to bank and non-bank financial institutions related to securities trading, cash commercial lending, and hedging activities. * Assign and approve recommended ratings and credit limits based on the in-depth analysis of financial statements, re- operational risk controls, due diligence calls with management, and industry/mark Transaction approval on all front office requests for credit limit increases based on counterparty creditworthiness, transactional risks, and business needs. * Develop exposure metrics, risk reports, and financial models to identify and manage outst exposures and credit risks. * Prepare and present all monthly and quarterly credit reports including Counterparty Credit Risk Committee Package, Balance Sheet R Committee, and ad-hoc reports at the behest of the Chief Risk Officer. * Work clo executive management to develop policies and procedures related to exposure li counterparty approval process, and appropriate delegations of authority related to of credit limits. * Advise various business units enterprise wide in the evaluation o related to new and existing business transactions and relationships. * Work with i on the negotiation and approval of credit terms for trading agreements including ISDAs, and CSAs.
Reason For Leaving* Advancement
Your name, if different during employment

COUNTRYWIDE SECURITIES CORPORATION

Senior Credit Analyst, Counterparty Credit Risk Management

Details

Name of Employer* COUNTRYWIDE SECURITIES CORPORATION
Your Job Title* Senior Credit Analyst, Counterparty Credit Risk Management
Currently Employed* No
Start Date* 01/17/2005
End Date* 09/30/2008
Hours Per Week* 40+
Employer's Address Calabasas, CA
Supervisor's Name
Supervisor's Phone Number
Duties and Responsibilities* * Performed credit analyses to identify counterparty credit risk across a wide rang clients including banks, broker-dealers, corporations, GSEs, hedge funds, insurar mortgage banks and REITs. * Established credit risk ratings and limits on assigne c based on analysis of financial statements, industry/market trends, due diligence c management, and background investigations. * Capital markets product expertise Treasuries and Agencies, MBS, TBAs, interest rate swaps and options, credit def and reverse repo financing, securities lending and borrowing, and whole loan trac risks and approved trades; worked closely with traders, salespersons and accoun structure transactions to minimize the firm's potential exposure. * Managed daily i exposures and oversaw margin call process for the firm's trading activities, includ repo, securities lending and borrowing, and derivatives trading. * Reviewed legal including MRA, PSA/GMRA, MSFTA, ISDA, and Master Dealer documents. * And involved in commercial real estate financing deals to determine financial condition viability. * Created presentations for senior management regarding portfolio activi Worked closely with senior management and technology group to implement new create new reports to better identify credit and portfolio risks.
Reason For Leaving* Involuntary separation without cause - BAC acquisition
Your name, if different during employment

FORD MOTOR CREDIT/ PRIMUS FINANCIAL SERVICES

Credit Analyst, Wholesale Services

Details

Name of Employer* FORD MOTOR CREDIT/ PRIMUS FINANCIAL SERVICES
Your Job Title* Credit Analyst, Wholesale Services

Currently Employed* No
Start Date* 02/01/2003
End Date* 11/30/2004
Hours Per Week* 45
Employer's Address Woodland Hills, CA
Supervisor's Name
Supervisor's Phone Number
Duties and Responsibilities* * Managed and monitored the credit profile of a portfolio of automotive dealership outstanding floorplan credit lines and capital loans. * Analyzed dealer financial statements of dealer principals, tax returns, and audit results to quality and determine appropriate risk ratings, credit terms, and limits. * Wrote an annual credit packages for new and existing accounts for credit committee approval. * Analyzed dealer credit quality, management competency, current market/industry trends, and performance. * Performed on-site collateral and working capital audits to determine compliance with Ford credit policies and adherence to existing credit terms. * Communicated account managers and dealerships' management to resolve related account issues up on audit results.
Reason For Leaving* Involuntary separation without cause - branch relocation
Your name, if different during employment

▼ **Formal Education**

University of California at Santa Barbara Santa Barbara, CA 4
 Santa Barbara, CA 0

▼ **Details**

Name of School* University of California at Santa Barbara Santa Barbara, CA
Location* Santa Barbara, CA
Start Date* 09/22/1997
End Date 06/30/2002
Course of Study Bachelor of Arts, Law and Society
Degree Earned (transcripts may be required)* Bachelors
Credit Hours -- Quarter
Credit Hours -- Semester

▼ **Language Skills**

There are no items in this section.

▼ **License, Registration or Certification**

There are no items in this section.

▼ **Job-Related Training or Course Work**

There are no items in this section.

▼ **Knowledge, Skills and Abilities**

There are no items in this section.

Items per page 10 ▼

Page 2 of 2

DAVID KISTER

297 East Paces Ferry Road NE Unit N1506 • Atlanta, GA 30305
David_Kister@yahoo.com • 805.886.9632

PROFESSIONAL EXPERIENCE

Regions Bank

Director, Head of Counterparty & Country Risk Management

Atlanta, GA
August 2014 - Present

- Actively manage counterparty, cross border, and sovereign exposures related to trading, lending, investing, global trade, and other clearing activities enterprise wide.
- Approval authority up to \$300 million in single counterparty credit limits and up to \$600 million for cross border and sovereign exposure.
- Chairman of the Counterparty Risk Review Committee and voting member on various other committees including New Products and Initiatives, BOLI, and Reg W.
- Responsible for establishing all counterparty and country risk policies, procedures, and underwriting methodologies.
- Work with Market Risk in the development of exposure calculations.
- Develop, review, and approve all daily, monthly, and quarterly management reporting packages.
- Work closely with legal department and outside counsel in the negotiation and approval of all master trading agreements.

CAPITAL ONE FINANCIAL CORPORATION

Manager, Counterparty Risk Group

McLean, VA
November 2008 – August 2014

- Supervise a team of analysts responsible for managing the Corporation's counterparty credit risks to bank and non-bank financial institutions related to securities trading, cash management, commercial lending, and hedging activities.
- Assign and approve recommended internal risk ratings and credit limits based on the in-depth analysis of financial statements, review of operational risk controls, due diligence calls with management, and industry/market trends.
- Transaction approval on all front office requests for credit limit increases based on evaluation of counterparty creditworthiness, transactional risks, and business needs.
- Develop and implement exposure metrics, risk reports, and financial models to identify and manage outstanding exposures and credit risks.
- Prepare and present all monthly and quarterly credit exposure reports including Counterparty Credit Risk Committee Package, Balance Sheet Risk Management Committee, and ad-hoc reports at the behest of the Chief Risk Officer.
- Work closely with executive management to develop policies and procedures related to exposure limits, counterparty approval process, and appropriate delegations of authority related to the extension of credit limits.
- Advise various business units enterprise wide in the evaluation of credit risks related to new and existing business transactions and relationships.
- Work with legal department on the negotiation and approval of credit terms for trading agreements including MSFTAs, MRAs, ISDAs, and CSAs.

COUNTRYWIDE SECURITIES CORPORATION

Senior Credit Analyst, Counterparty Credit Risk Management

Calabasas, CA
January 2005 - September 2008

- Performed credit analyses to identify counterparty credit risk across a wide range of institutional clients including banks, broker-dealers, corporations, GSEs, hedge funds, insurance companies, mortgage banks and REITs.
- Established credit risk ratings and limits on assigned portfolio based on analysis of financial statements, industry/market trends, due diligence calls with management, and background investigations.

- Capital markets product expertise includes US Treasuries and Agencies, MBS, TBAs, interest rate swaps and options, credit default swaps, repo and reverse repo financing, securities lending and borrowing, and whole loan trading.
- Analyzed risks and approved trades; worked closely with traders, salespersons and account managers to structure transactions to minimize the firm's potential exposure.
- Managed daily mark-to-market exposures and oversaw margin call process for the firm's trading activities, including repo/reverse repo, securities lending and borrowing, and derivatives trading.
- Reviewed legal documentation including MRA, PSA/GMRA, MSFTA, ISDA, and Master Dealer documents.
- Analyzed tenants involved in commercial real estate financing deals to determine financial condition and long-term viability.
- Created presentations for senior management regarding portfolio activities and risks.
- Worked closely with senior management and technology group to implement new processes and create new reports to better identify credit and portfolio risks.

FORD MOTOR CREDIT/ PRIMUS FINANCIAL SERVICES

Woodland Hills, CA

Credit Analyst, Wholesale Services

February 2003 - November 2004

- Managed and monitored the credit profile of a portfolio of automotive dealerships with outstanding floorplan credit lines and capital loans.
- Analyzed dealer financial statements, personal financial statements of dealer principals, tax returns, and audit results to evaluate credit quality and determine appropriate risk ratings, credit terms, and limits.
- Wrote and presented annual credit packages for new and existing accounts for credit committee approval outlining dealer credit quality, management competency, current market/industry trends, and peer performance.
- Performed on-site collateral and working capital audits to determine compliance with Ford credit policies and adherence to existing credit terms.
- Communicated daily with account managers and dealerships' management to resolve related account issues and to follow-up on audit results.

EDUCATION**University of California at Santa Barbara**
*Bachelor of Arts, Law and Society*Santa Barbara, CA
June 2002**COMPUTER SKILLS**

Proficient with various programs including Microsoft Access, Excel, Outlook, PowerPoint, Word, Lotus Notes, AS400, and Bloomberg.

REFERENCES

Available upon request