

**AGENDA  
FINANCIAL SERVICES COMMISSION  
OFFICE OF FINANCIAL REGULATION**

**April 17, 2007**

**MEMBERS**

Governor Charlie Crist  
Attorney General Bill McCollum  
Chief Financial Officer Alex Sink  
Commissioner Charlie Bronson

**Contact: Andrea Moreland (OFR)**  
**(850) 410-9662**

9:00 A.M.  
LL-03, The Capitol  
Tallahassee, Florida

<b>ITEM</b>	<b>SUBJECT</b>	<b>RECOMMENDATION</b>
1.	<p>The Office is requesting approval to file for final adoption amendments to Rule Chapter 69T-8, Florida Administrative Code.</p> <p>The amendments update the Office's procedures governing the indexing of final orders to conform to the rules of the Department of State and to reflect more descriptive naming conventions. The amendments also update statutory references and make other clarifying changes.</p> <p><b>(ATTACHMENT 1)</b></p>	<p><b>Approval for Final Adoption</b></p>
2.	<p>The Office is requesting approval to file for final adoption amendments to Rule Chapters 69W-200, 69W-300, 69W-301, 69W-400, 69W-600, and 69W-700, Florida Administrative Code, relating to securities regulation.</p> <p>Chapter 2006-213, Laws of Florida, contains amendments to chapter 517, Florida Statutes, relating to the Florida Securities and Investors Protection Act. This law amends provisions concerning licensing, notice filings, and other regulatory provisions in the area of securities regulation. The rule amendments implement and reflect the statutory changes.</p> <p><b>(ATTACHMENT 2)</b></p>	<p><b>Approval for Final Adoption</b></p>
3.	<p>The Office is requesting approval to file for final adoption amendments to Rule 69W-500.04, Florida Administrative Code, relating to Computation of Number of Purchasers for Purposes of Section 517.061(11), Florida Statutes.</p> <p>The rule is clarified to conform to statutory requirements regarding the exclusion of certain individuals as purchasers for securities offerings under s. 517.061(11), F.S.</p> <p><b>(ATTACHMENT 3)</b></p>	<p><b>Approval for Final Adoption</b></p>